

	XBRL Excel Utility
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#### 1. Overview

The excel utility can be used for creating the XBRL/XML file for efiling of shareholding pattern.

Shareholding pattern XBRL filling consists of two processes. Firstly generation of XBRL/XML file of the Shareholding pattern, and upload of generated XBRL/XML file to BSE Listing Center.

### 2. Before you begin

- 1. The version of Microsoft Excel in your system should be Microsoft Office Excel 2007 and above.
- 2. The system should have a file compression software to unzip excel utility file.
- 3. Make sure that you have downloaded the latest Excel Utility from BSE Website to your local system.
- 4. Make sure that you have downloaded the Chrome Browser to view report generated from Excel utility
- 5. Please enable the Macros (if disabled) as per instructions given in manual, so that all the functionalities of Excel Utility works fine. Please first go through Enable Macro Manual attached with zip file.

	3. Index	
1	Details of general information about company	General Info
2	Declaration	Declaration
3	Summary	<u>Summary</u>
4	Shareholding Pattern	Shareholding Pattern

#### 4. Steps for Filing Shareholding Pattern

- I. Fill up the data: Navigate to each field of every section in the sheet to provide applicable data in correct format. (Formats will get reflected while filling data.)
  - Use paste special command to paste data from other sheet.
- II. Validating Sheets: Click on the "Validate" button to ensure that the sheet has been properly filled and also data has been furnished in proper format. If there are some errors on the sheet, excel utility will prompt you about the same.
- III. Validate All Sheets: Click on the "Home" button. And then click on "Validate All Sheet" button to ensure that all sheets has been properly filled and validated successfully. If there are some errors on the sheet, excel utility will prompt you about the same and stop validation at the same time. After correction, once again follow the same procedure to validate all sheets.

Excel Utility will not allow you to generate XBRL/XML until you rectify all errors.

- IV. Generate XML: Excel Utility will not allow you to generate XBRL/XML unless successful validation of all sheet is completed. Now click on 'Generate XML'' to generate XBRL/XML file.
  - Save the XBRL/XML file in your desired folder in local system.
- V. Generate Report : Excel Utility will allow you to generate Report. Now click on 'Generate Report" to generate html report.
  - Save the HTML Report file in your desired folder in local system.
  - To view HTML Report open "Chrome Web Browser"
  - To print report in PDF Format, Click on print button and save as PDF.
- VI. Upload XML file to BSE Listing Center: For uploading the XBRL/XML file generated through Utility, login to BSE Listing Center and upload generated xml file. On Upload screen provide the required information and browse to select XML file and submit the XML.

### 5. Fill up the Shareholding Pattern

- 1. Cells with red fonts indicate mandatory fields.
- 2. If mandatory field is left empty, then Utility will not allow you to proceed further for generating XML.
- 3. You are not allowed to enter data in the Grey Cells.
- 4. If fields are not applicable to your company then leave it blank. Do not insert Zero unless it is a mandatory field.
- Data provided must be in correct format, otherwise Utility will not allow you to proceed further for generating XML.
- Adding new rows: Sections such as Promoters details allow you to enter as much data in a tabular form.You can Click on "Add" to add more rows.
- 7. Deleting rows: Rows that has been added can be removed by clicking the button "Delete". A popup will ask you to provide the range of rows you want to delete.
- 8. Select data from "Dropdown list" wherever applicable.
- 9. Adding Notes: Click on "Add Notes" button to add notes

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Validate

General information about company	
Scrip code	504673
Name of the company	UNIVERSAL PRIME ALUMINIUM LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-06-2016
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

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# Validate

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities?	No
3	Whether the Listed Entity has issued any Warrants?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

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Table I - Summary Statement holding of specified securities

No	Note: Data will be automatically populated from shareholding pattern sheet - Data Entry Restricted in this sheet																			
Cate							Shareholding as a %			n each class ofsecuri es	IX)				Shareholding , as a %	Number of Locked in shares f (XII)		Number of Shares pledged or otherwise encumbered		
	egor	Nos. Of	No. of fully paid up equity	No. Of Partly paid-		Total nos. shares	of total no. of shares					No. of Shares		assuming full conversion of convertible securities ( as a	(Am)		(XIII)		Number of equity	
	Category of shareholder (II)	shareholders (III)		up equity shares	ares underlying Depository Receipt (VI)	h-ta	(calculated as per	Class eg: X	Class eg:y	Total	Total as a % of (A+B+C)	Outstanding convertible securities (X)			percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	shares held in dematerialized form (XIV)
	A) Promoter & Promoter Group	S	3823778	1		3823778	47.99	38,23,778.00		38,23,778.00	47.99				47.99					3823778
	B) Public	28439	4143665			4143665	52.01	4143665.00		41,43,665.00	52.01				52.01					1711463
	C) Non Promoter- Non Public																			
(	(1) Shares underlying DRs													· ·						
(	(2) Shares held by Employee Trusts													· ·						
	Tota	l 28448	7967443			7967443		7967443.00		7967443.00	100.00									5535241

Category & Name of the Shareholders (II)   No. of folly paid up of the Shareholders (III)   No. of folly paid up of the Shareholders (III)   No. of folly paid up of the Shareholders (III)   No. of folly paid up (IV)   No. of									
Str.   Category & Name of the Shareholders (III)   Provided by Shareholders   Provided b					Number of Voting R	ights held in each cla			
Category & Name of the Shareholders (III)   No. of fully paid up of the Shareholders (III)   No. of fully paid up of the Shareholders (III)   No. of fully paid up of the Shareholders (III)   No. of fully paid up of the Shareholders (III)   No. of fully paid up of the Shareholders (III)   No. of fully paid up of the Promoter and Promoter Group					No of Voling	(XIV)Rights		Shareholding , as a %	
(1) Indian (a) Individuals/Hindu undivided Family 3 1654163 20.76 1654163.00 1654163 20.76 (b) Central Government/ State Government(s) (c) Financial Institutions/ Banks (d) Any Other (specify) 6 2169615 2169615 27.23 2169615.00 2169615 27.23  Sub-Total (A)(1) 9 3823778 3823778 47.99 3823778.00 3823778 47.99  (2) Foreign (3) Individuals (NonResident Individuals/ Foreign Individuals) (4) Foreign Portfolio Investor (6) Institutions (7) Sub-Total (A)(2) 9 3823778 3823778 47.99 3823778.00 3823778 (8) Sub-Total (A)(2) 9 3823778 3823778 47.99 3823778.00 3823778 (9) Any Other (specify)  Total Shareholding of Promoter and Promoter Group (A)-(A)(1)-(A)(2) 9 3823778 3823778 47.99 3823778.00 3823778 47.99  Details of Shares which remain unclaimed for Promoter & Promoter Group (A) Hutual Funds 2 123800 1.55 123800 1.23800 1.55 (b) Venture Capital Funds (c) Afternate investment Funds (d) Foreign Portfolio Investor (e) Promoter Gapital Funds (f) Foreign Portfolio Investor (g) Foreign Portfolio Investor (g) Foreign Portfolio Investor (h) Foreign Portfolio Investor (h) Foreign Portfolio Investors (h) Venture Capital Funds (h) Foreign Portfolio Investors (h) Foreign Portfolio Inves	of the Shareholders (III)	equity shares held	held	% of total no. of shares (calculated as per SCRR, 1957) (VIII)	eg:	Total	a % of Total Voting	assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)
Individuals/Hindu undivided Family   3   1654163   20.76   1654163.00   1654163   20.76	Table II - Statement showing shareholding pattern of the Promo	ter and Promoter G	iroup						
(b) Central Government/State Government(s) (c) Financial Institutions/ Banks (d) Any Other (specify)  Sub-Total (A)(1) 9 3823778 3823778 47.99 3823778.00 3823778 47.99  (a) Individuals (NonResident Individuals/ Foreign Individuals/ Foreign Portfolio Investor (b) Government (c) Institutions (d) Foreign Portfolio Investor (e) Any Other (specify)  Sub-Total (A)(2) 9 3823778 3823778 47.99 3823778.00 3823778  Sub-Total (A)(2) 9 3823778  Details of Shares which remain unclaimed for Promoter Group  B Table III - Statement showing shareholding pattern of the Public shareholder (i) Institutions (a) Mutual Funds (b) Venture Capital Funds (c) Alternate Investment Funds (d) Foreign Portfolio Investors (e) Foreign Portfolio Investors (e) Foreign Portfolio Investors (f) Financial Institutions (g) Foreign Portfolio Investors (g) Insurance Companies	iian								
(c) Financial Institutions/ Banks (d) Any Other (specify) 6 2169615 2169615 27.23 2169615.00 2169615 27.23  Sub-Total (A)(1) 9 3823778 3823778 47.99 3823778.00 3823778 47.99  [a) Individuals (NonResident Individuals/ Foreign Individuals) [Individuals (NonResident Individuals) [Individuals] [Indi	ndividuals/Hindu undivided Family	1654163	1654163	20.76	1654163.00	1654163	20.76	20.76	1654163
Any Other (specify)	entral Government/ State Government(s)								
Sub-Total (A)(1)   9   3823778   3823778   47.99   3823778.00   3823778   47.99	inancial Institutions/ Banks								
Column   C	ny Other (specify)	2169615	2169615	27.23	2169615.00	2169615	27.23	27.23	2169615
Column   C	Sub-Total (A)(1)	3823778	3823778	47.99	3823778.00	3823778	47.99	47.99	3823778
Individuals	eign								
(c) Institutions (d) Foreign Portfolio Investor (e) Any Other (specify)  Total Shareholding of Promoter Group (A)=(A)(1)+(A)(2)  Details of Shares which remain unclaimed for Promoter & Promoter Group  (B) Table III - Statement showing shareholding pattern of the Public shareholder  (a) Mutual Funds (b) Venture Capital Funds (c) Alternate Investment Funds (d) Foreign Portfolio Investors (e) Foreign Portfolio Investors (f) Financial Institutions (g) Insurance Companies									
(d) Foreign Portfolio Investor (e) Any Other (specify)  Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)  Details of Shares which remain unclaimed for Promoter & Promoter & Promoter Group (1) Institutions  (a) Mutual Funds (b) Venture Capital Funds (c) Alternate Investment Funds (d) Foreign Venture Capital Investors (e) Foreign Portfolio Investors (f) Financial Institutions/Banks (g) Insurance Companies	overnment								
(e) Any Other (specify)    Sub-Total (A)(2)	<u>istitutions</u>								
Sub-Total (A)(2)	oreign Portfolio Investor								
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)   9   3823778   3823778   47.99   3823778.00   3823778   47.99	ny Other (specify)								
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)   9   3823778   3823778   47.99   3823778.00   3823778   47.99	Sub-Total (A)(2)								
Details of Shares which remain unclaimed for Promoter & Promoter Group  B Table III - Statement showing shareholding pattern of the Public shareholder  (1) Institutions  (a) Mutual Funds (b) Venture Capital Funds (c) Alternate Investment Funds (d) Foreign Venture Capital Investors (e) Foreign Portfolio Investors (f) Financial Institutions/ Banks 2 2594 2594 0.03 2594 2594 0.03 2594 0.03	Total Shareholding of Promoter and Promoter Group	3823778	3823778	47.99	3823778.00	3823778	47.99	47.99	3823778
(1) Institutions         (a) Mutual Funds       2       123800       1.55       123800       1.55         (b) Venture Capital Funds       0       0       0       0         (c) Alternate Investment Funds       0       0       0       0       0         (d) Foreign Venture Capital Investors       0<	etails of Shares which remain unclaimed for Promoter & Promoter Gro	ı <u>p</u>							
(a) Mutual Funds 2 123800 1.55 123800 1.55 123800 1.55 (b) Venture Capital Funds (c) Alternate Investment Funds (d) Foreign Venture Capital Investors (e) Foreign Portfolio Investors (f) Financial Institutions/ Banks 2 2594 2594 0.03 2594 2594 0.03 (g) Insurance Companies	Table III - Statement showing shareholding pattern of the Public	shareholder							
(b) Venture Capital Funds (c) Alternate Investment Funds (d) Foreign Venture Capital Investors (e) Foreign Portfolio Investors (f) Financial Institutions/ Banks 2 2594 2594 0.03 2594 2594 0.03 (g) Insurance Companies	titutions								
(c) Alternate Investment Funds (d) Foreign Venture Capital Investors (e) Foreign Portfolio Investors (f) Financial Institutions/ Banks 2 2594 2594 0.03 2594 2594 0.03 (g) Insurance Companies	<u>1utual Funds</u> 2	123800	123800	1.55	123800	123800	1.55	1.55	119200
(d) Foreign Venture Capital Investors  (e) Foreign Portfolio Investors  (f) Financial Institutions/ Banks 2 2594 2594 0.03 2594 2594 0.03  (g) Insurance Companies	enture Capital Funds								
(e)         Foreign Portfolio Investors         2         2594         2594         0.03         2594         2594         0.03           (g)         Insurance Companies         Insurance Companies         Insurance Companies         Insurance Companies         Insurance Companies         Insurance Companies	Iternate Investment Funds								
(f)         Financial Institutions/ Banks         2         2594         2594         0.03         2594         2594         0.03           (g)         Insurance Companies         Insurance Companies         Insurance Companies         Insurance Companies         Insurance Companies         Insurance Companies	oreign Venture Capital Investors								
(g) Insurance Companies	oreign Portfolio Investors								
	inancial Institutions/ Banks 2	2594	2594	0.03	2594	2594	0.03	0.03	1944
(h) Provident Funds/ Pension Funds	isurance Companies								
	rovident Funds/ Pension Funds								
(i) Any Other (specify)	ny Other (specify)								
Sub-Total (B)(1)         4         126394         126394         1.59         126394.00         126394         1.59	Sub-Total (B)(1)	126394	126394	1.59	126394.00	126394	1.59	1.59	121144
(2) Central Government/ State Government(s)/ President of India									
Sub-Total (B)(2)	Sub-Total (B)(2)								
(3) Non-institutions									

	Individuals									
	i.Individual shareholders holding nominal share									
(a(i))	capital up to Rs. 2 lakhs.	28329	3144287	3144287	39.46	3144287.00	3144287	39.46	39.46	896809
	Individuals -									
	ii. Individual shareholders holding nominal share		500=00	500700	= 00	500700.00	500700	= 00	= 00	500700
(a(ii))	capital in excess of Rs. 2 lakhs.	11	630798	630798	7.92	630798.00	630798	7.92	7.92	630798
(b)	NBFCs registered with RBI	1	22920	22920	0.29	22920.00	22920	0.29	0.29	22920
(c)	Employee Trusts									
(d)	Overseas Depositories (holding DRs) (balancing figure)									
(e)	Any Other (specify)	94	219266	219266	2.75	219266.00	219266	2.75	2.75	39792
	Sub-Total (B)(3)	28435	4017271	4017271	50.42	4017271.00	4017271	50.42	50.42	1590319
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	28439	4143665	4143665	52.01	4143665.00	4143665	52.01	52.01	1711463
	Details of the shareholders acting as persons in Concert	for Public								
	Details of Shares which remain unclaimed for Public									
С	Table IV - Statement showing shareholding pattern	of the Non Prom	oter- Non Public sl	hareholder						
(1)	Custodian/DR Holder - Name of DR Holders (If Available)									
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)									
	Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)									
	Total ( A+B+C2 )	28448	7967443	7967443	100.00	7967443.00	7967443	100.00	100.00	5535241
	Total (A+B+C )		7967443.00	7967443	100.00		5535241			
			Add Notes							